

# Anomalous couplings for D-branes and O-planes

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## Abstract

We study anomalous Wess-Zumino couplings of D-branes and O-planes in a general background and derive them from a direct string computation by factorizing in the RR channel various one-loop amplitudes. In particular, we find that Op-planes present gravitational anomalous couplings involving the Hirzebruch polynomial  $\hat{\mathcal{L}}$ , similarly to the roof genus  $\hat{\mathcal{A}}$  encoding Dp-brane anomalous couplings. We determine, in each case, the precise dependence of these couplings on the curvature of the tangent and normal bundles.

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# 1. Introduction

It is known from the work of several authors [1, 2, 3, 4, 5, 6, 7] (see [8] for a review) that the Wess-Zumino coupling in the effective world-volume theory of a Dp-brane in a generic supergravity background takes the following form:

$$S = \mu_p \int C \wedge e^{\mathcal{F}} \wedge \sqrt{\hat{\mathcal{A}}(\mathcal{R}_{\mathcal{T}})/\hat{\mathcal{A}}(\mathcal{R}_{\mathcal{N}})} \Big|_{(p+1)\text{-form}} \quad (1.1)$$

where  $C = \sum_n C_{(n)}$  is the formal sum over all Ramond Ramond (RR) form potentials, pulled back to the world-volume of the D-brane,  $\mathcal{F} = 2\pi\alpha' F - B$  is the gauge-invariant combination of the field strength  $F$  of the gauge field living on the D-brane and the pull-back of the Neveu-Schwarz Neveu-Schwarz (NSNS) antisymmetric tensor field  $B$ .  $\mathcal{R}_{\mathcal{T}}$  and  $\mathcal{R}_{\mathcal{N}}$  are the tangent and normal components of the appropriately normalized curvature two-form  $\mathcal{R} = 4\pi^2\alpha' R$  on the world-volume, and  $\hat{\mathcal{A}}(\mathcal{R}_{\mathcal{T}})$  and  $\hat{\mathcal{A}}(\mathcal{R}_{\mathcal{N}})$  are the *roof genus polynomials* of the tangent and normal bundle of the Dp-brane.  $\hat{\mathcal{A}}(\mathcal{R})$  is a polynomial of the curvature two-form, and in terms of Pontrjagin classes  $p_n(R)$  one has

$$\sqrt{\hat{\mathcal{A}}(\mathcal{R})} = 1 - \frac{(4\pi^2\alpha')^2}{48} p_1(R) + \frac{(4\pi^2\alpha')^4}{2560} p_1^2(R) - \frac{(4\pi^2\alpha')^4}{2880} p_2(R) + \dots \quad (1.2)$$

The notation of (1.1) is then clear: in expanding all the forms one has to pick up only the (p+1)-form integrated over the (p+1)-dimensional world-volume. All the terms appearing in (1.1), but the usual minimal coupling to  $C_{(p+1)}$ , can be related to gauge and gravitational anomalies arising in the world-volume theories of certain brane intersections containing chiral fermions [5]. In such cases one can get rid of the anomaly appearing on the world-volume theory by a bulk term, with support only on the world-volume, that cancels it. This is basically how the inflow-mechanism works [9]. These anomaly considerations have been actually crucial to establish the existence of most of the terms appearing in (1.1), that are then called *anomalous couplings*. Anyway, although the couplings induced by the field strength  $\mathcal{F}$  have been confirmed in various ways, also with explicit computations [3], the gravitational ones coming from  $\hat{\mathcal{A}}(\mathcal{R}_{\mathcal{T}})$  and  $\hat{\mathcal{A}}(\mathcal{R}_{\mathcal{N}})$  are more difficult to analyze. Up to now several checks have been performed [4, 10], but a direct computation confirming the presence of  $\hat{\mathcal{A}}(\mathcal{R}_{\mathcal{N}})$  and the eight-form term in the expansion of  $\hat{\mathcal{A}}(\mathcal{R}_{\mathcal{T}})^1$  has not been performed yet.

Similarly to D-branes, also orientifold planes have anomalous couplings beside the minimal coupling to RR-forms. As argued in [11, 12], these are again required to cancel anomalies in chiral world-volume field theories, the novel feature being the appearance of chiral antisymmetric tensors, i.e. antisymmetric tensors with (anti) self-dual field strengths, which contribute to the anomaly beside chiral fermions. Since open strings cannot end on O-planes, these do not support world-volume gauge fields, and correspondingly only gravitational anomalous couplings occur. We claim that the complete Wess-Zumino coupling for an Op-plane is

$$S = \mu'_{(p)} \int C \wedge \sqrt{\hat{\mathcal{L}}(\mathcal{R}_{\mathcal{T}}/4)/\hat{\mathcal{L}}(\mathcal{R}_{\mathcal{N}}/4)} \Big|_{(p+1)\text{-form}} \quad (1.3)$$

where  $\mu'_p = -2^{p-4}\mu_p$  is the charge of an O-plane with the conventions of [13] and  $\hat{\mathcal{L}}(\mathcal{R}_{\mathcal{T}}/4)$  and  $\hat{\mathcal{L}}(\mathcal{R}_{\mathcal{N}}/4)$  are the *Hirzebruch polynomials* of the tangent and normal

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<sup>1</sup>This is, of course, the last term that can appear in the expansion of the roof genus in (1.1).

bundles of the Op-plane. Similarly to before,  $\hat{\mathcal{L}}(\mathcal{R}/4)$  is a polynomial of the curvature 2-form  $R$ , and in terms of Pontrjagin classes  $p_n(R)$  one has

$$\sqrt{\hat{\mathcal{L}}(\mathcal{R}/4)} = 1 + \frac{(4\pi^2\alpha')^2}{96}p_1(R) - \frac{(4\pi^2\alpha')^4}{10240}p_1^2(R) + \frac{7(4\pi^2\alpha')^4}{23040}p_2(R) + \dots \quad (1.4)$$

The dependence on the tangent bundle curvature in (1.3) has been already studied in [11, 12], where the coefficients of the terms quadratic and quartic in the curvature were determined. Whereas the quadratic term appearing in the expansion (1.4) is consistent with the results of [11, 12], the quartic term shows a discrepancy. Our results come from a direct string computation and pass various consistency checks, as will be discussed in detail in the following. We think that this gives strong evidence for the correctness of the coefficients appearing in (1.4)<sup>2</sup>. On the other hand, we are not aware of any prior discussion about the anomalous couplings for Op-planes arising from the normal bundle curvature.

Aim of this work is then to extract the couplings (1.1), (1.3) from a one-loop computation representing the interaction between parallel D-branes and O-planes. More precisely, we will be interested in the interaction due to the exchange of RR forms in the closed string channel, in the presence of electromagnetic and gravitational backgrounds. Due to the GSO projections, this interaction further splits into two contributions corresponding to the RR even and odd spin structures, encoding respectively electric and magnetic interactions [14]. From the open string point of view, they correspond to the  $(-)^F$  part of the partition function in the NS and R sectors. Generalizing Polchinski's computation of D-brane charges [1], one could in principle use equivalently one or the other of these two kinds of RR interactions to deduce the couplings. However, in the case at hand the nature of the couplings to probe lead to several difficulties in the analysis of the electric interaction. Indeed, this interaction can not be computed exactly in the background fields. On the other hand, all the difficulties disappear for the magnetic interaction, that as we shall see is topological and can be computed exactly, at one-loop level. Moreover, from the open string point of view, the odd spin structure represents precisely the anomalous part of a loop of massless chiral particles, and yields therefore automatically the anomaly related by the inflow mechanism to the couplings (1.1), (1.3). The direct derivation of the tangent bundle part of the anomalous couplings (1.1) and (1.3) that we present is rigorous and supported by a strong consistency check. However, the derivation of the normal bundle part of these couplings suffers, strictly speaking, of an overall normalization ambiguity, since it relies on an analysis of formally vanishing amplitudes, that is not supported by a consistency check. Nonetheless, we reach a clear understanding of the mechanism responsible for the different dependence on the tangent and normal bundle curvatures, and we therefore believe that our arguments give really strong evidence for the results we propose.

The plan of the paper is as follows. In sections two and three we consider particular one-loop correlation functions on the annulus, Möbius strip and Klein bottle surfaces, from which we will extract the tangent and normal bundle contributions of the couplings (1.1) and (1.3). In section four we rederive, through a  $\sigma$ -model approach, the results obtained in sections two and three, emphasizing their generality and including also the dependence on  $\mathcal{F}$ . In section five, following [11, 12], we fix

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<sup>2</sup>We are grateful to S. Mukhi for a useful discussion about this issue.

and check the normalizations entering in the previous loop computations through a consistency check with anomaly cancellation in Type I string theory. In last section, we give some final comments and conclusions, and in an appendix some technical details about our computations are reported.

## 2. Tangent bundle

The most direct string computation that one could imagine to do, in order to extract the induced RR couplings appearing in (1.1) and (1.3), is just a correlation function on a disk and a cross-cup (with the appropriate Neumann or Dirichlet boundary conditions) of a certain number of gravitons and world-volume photons with the appropriate RR form. This approach has been used, for instance, in [10] to check the first non-trivial term of the expansion of the gravitational term associated to the tangent bundle of D-branes and O-planes. Using this method, the next term in the expansion would require to compute a 5-point function on a disk or a cross-cup of four gravitons with a RR tensor field. By choosing the appropriate polarizations for the four gravitons, in this way one could eventually derive both the gravitational couplings associated to the tangent and normal bundle. Such kind of computations is however quite laborious and awkward. Moreover, it does not really display the topological nature of these interactions, nor determine in a reliable way all the coefficients.

On the other hand, one could imagine to generalize Polchinski's factorization procedure [1], and compute appropriate one-loop amplitudes from which one can extract the RR couplings of D-branes and O-planes. In particular, D-D, D-O and O-O interactions are encoded in amplitudes involving respectively the annulus, Möbius strip and Klein bottle surfaces. The effect of the background is taken into account by inserting a certain number of the corresponding vertex operators, depending on the order of the coupling to be studied. It is clear that these one-loop correlation functions can have contributions from the couplings (1.1) and (1.3), in which a closed RR string state is exchanged between the two sources. By a comparison with the same computation in the corresponding low-energy effective theory, one can then extract the couplings (1.1) and (1.3).

As already mentioned in the introduction, there are two kinds of RR interactions that can take place in the two RR spin structures: electric ones in the even spin structure, in which a given RR form propagates, and magnetic ones in the odd spin structure, where the intermediate RR form turns into its magnetic dual during the propagation (because of the  $\Gamma_{11}$  implementing Hodge duality on bispinors). Technically, the presence of the fermionic zero modes allow for a non-vanishing correlation only between RR forms which are equal up to the Hodge duality. The relevant correlators with one potential and one field strength are<sup>3</sup>

$$\langle C_{(p)}^{M_1 \dots M_p} H_{(q+1)}^{N_1 \dots N_{q+1}} \rangle_{even} \sim \delta_{p,q} \delta^{M_1 \dots M_p; [N_1 \dots N_q} \partial^{N_{q+1}] \Delta \quad (2.1)$$

$$\langle C_{(p)}^{M_1 \dots M_p} H_{(q+1)}^{M_1 \dots M_{q+1}} \rangle_{odd} \sim \delta_{p+q,8} \epsilon^{M_1 \dots M_p N_1 \dots N_{q+1} P} \partial_P \Delta \quad (2.2)$$

where  $\Delta$  is the free massless scalar propagator in the transverse directions and the tensor  $\delta^{M_1 \dots M_p; N_1 \dots N_q}$  denotes  $\delta^{M_1 N_1} \dots \delta^{M_p N_p}$  appropriately antisymmetrized in the  $M_i$

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<sup>3</sup>Whereas electric correlations of potentials are most natural, magnetic correlations are well defined only if at least one of the potentials is turned to a field strength, allowing to implement explicitly Hodge duality.

and  $N_i$  indices (for  $p = q$ ). The propagators (2.1) and (2.2) are manifestly related through Hodge duality,  $H_{(p)} = *H_{(10-p)}$ , reflecting the fact that the odd spin structure is defined with a  $\Gamma_{11}$  insertion (implementing this duality). Here and in the following, capital indices  $M, N = 0, \dots, 9$  run over all space-time, whereas Greek indices  $\mu, \nu = 0, \dots, p$  label Neumann directions and Latin indices  $i, j = p+1, \dots, 9$  Dirichlet directions.

As anticipated in the introduction, we shall concentrate on the topological magnetic interaction encoded in the odd spin structure. In general, the presence of a gravitational background not only induces new anomalous couplings, but also modifies the free propagators (2.1) and (2.2). Therefore, in extracting couplings by factorization, one has to carefully disentangle them from corrections to the free propagator induced by the background. Whereas this difficulty can be avoided for the tangent bundle contributions of (1.1), (1.3) by simply taking the gravitons propagating in the brane world-volume only, such a simplification does not take over for the normal bundle couplings. These are indeed clearly visible only if the gravitons have momenta and polarizations along the transverse directions as well. It can be easily seen, moreover, that the magnetic interaction (2.2) can take place only when the RR tensor fields turned on by the two branes cover at least nine of the ten space-time directions. This is actually the case for D8-branes and O8-planes only. Otherwise, the ten dimensional epsilon tensor will always be vanishing.

We analyze in this section a particular setting which allows to deduce in a rigorous way the tangent bundle part of the gravitational anomalous couplings, both for D-branes and O-planes. It relies on the annulus and Möbius strip amplitudes, giving respectively the D8-D8 and D8-O8 interactions. We shall then also present a similar analysis for the Klein bottle and show that the result is indeed compatible with the interpretation of O8-O8 interaction. The choice of computing one-loop amplitudes for a given kind of D-branes/O-planes is dictated by definiteness and to simplify the corresponding field theory analysis. We would like to stress, however, that all the results below can be deduced for any choice of parallel D-branes/O-planes, as will be shown in section four.

## 2.1. Annulus and Möbius strip

We shall focus on magnetic interactions which involve one power of the world-volume gauge field and four curvature tensors. These are encoded in a correlation function with 1 photon and 4 gravitons in the RR odd spin structure. This interaction is non-vanishing only between two parallel D8-branes or between a D8-brane and an O8-plane.

Before proceeding with the computation, it is essential to recall that in the odd spin structure on the cylinder and the Möbius strip there is a gravitino zero mode which is responsible for the insertion of the sum of the left and right supercurrents  $T_F + \tilde{T}_F$ <sup>4</sup> and a Killing spinor that requires the total superghost charge to be  $-1$  (instead of 0 as in the even spin structures). Taking the photon vertex operator in the  $-1$  picture, and all the graviton vertex operators in the 0 picture, we have therefore to evaluate

$$I_{\gamma g^4} = \langle (T_F + \tilde{T}_F) V_\gamma^{(-1)} V_g^{(0)} V_g^{(0)} V_g^{(0)} V_g^{(0)} \rangle \quad (2.3)$$

both on the annulus and the Möbius strip.

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<sup>4</sup>We use here the formalism introduced in [15].

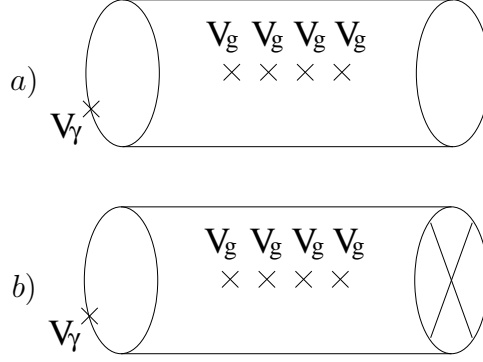


Fig. 2.1: The correlation  $I_{\gamma g^4}$  on a) the annulus and b) the Möbius strip.

The left and right moving picture-changing operators are<sup>5</sup>

$$T_F = e^\phi \psi^M \partial X_M, \quad \tilde{T}_F = e^{\tilde{\phi}} \tilde{\psi}^M \bar{\partial} X_M \quad (2.4)$$

In (2.3),  $V_\gamma^{(-1)}$  is the photon vertex operator in the  $-1$  picture

$$V_\gamma^{(-1)}(p) = \oint ds e^{-\phi} A_\mu(p) \psi^\mu e^{ip \cdot X} \quad (2.5)$$

$V_g^{(0)}$  is the usual graviton vertex operator in the 0 picture

$$V_g^{(0)}(p) = \int d^2 z h_{MN}(p) (\partial X^M + ip \cdot \psi \psi^M) (\bar{\partial} X^N + ip \cdot \tilde{\psi} \tilde{\psi}^N) e^{ip \cdot X} \quad (2.6)$$

whose leading two-derivative part is

$$V_g^{lin.}(p) = \frac{1}{2} \int d^2 z R_{MNPQ}(p) (X^M \partial X^N + \psi^M \psi^N) (X^P \bar{\partial} X^Q + \tilde{\psi}^P \tilde{\psi}^Q) \quad (2.7)$$

in terms of the linearized Riemann tensor

$$R_{MNPQ}(p) = -\frac{1}{2} [p_M p_P h_{NQ}(p) + p_N p_Q h_{MP}(p) - p_N p_P h_{MQ}(p) - p_M p_Q h_{NP}(p)] \quad (2.8)$$

For simplicity, we take all the momenta and polarizations of the four gravitons in the eight Neumann directions  $\mu, \nu = 1, \dots, 8$ .

In the odd spin structure, the fermion fields  $\psi^M$  are completely periodic and have therefore zero modes  $\psi_0^M$ . Correspondingly, the one-loop correlation function (2.3) contains an integral over the ten fermionic zero modes  $\psi_0^M$  which vanishes unless all the ten  $\psi_0^M$  are inserted

$$\int \left( \prod_{i=1}^{10} d\psi_0^{M_i} \right) \psi_0^{M_1} \dots \psi_0^{M_{10}} = \epsilon^{M_1 \dots M_{10}} \quad (2.9)$$

Since  $T_F + \tilde{T}_F$  and  $V_\gamma^{(-1)}$  can soak up at most two of them, the remaining eight zero modes should be furnished by the gravitons. Although each graviton vertex contains

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<sup>5</sup>In the following, we fix  $\alpha' = 1/2$ . However, we shall not attempt to keep track of the overall normalizations, since all the relevant coefficients will be checked in section five.

up to a four fermion term, it is easily seen that due to the cyclic property of the Riemann tensor, the maximum number of zero modes that each of them can soak up is actually two. Each graviton has then to provide precisely two fermionic zero modes, and the vertex (2.7) is replaced by the effective one

$$\tilde{V}_g^{eff.} = \int d^2 z R_{\mu\nu}(p) \left[ X^\mu (\partial + \bar{\partial}) X^\nu + (\psi - \tilde{\psi})^\mu (\psi - \tilde{\psi})^\nu \right] \quad (2.10)$$

in terms of the  $SO(8)$ -valued curvature two-form

$$R_{\mu\nu} = \frac{1}{2} R_{\mu\nu\rho\sigma} \psi_0^\rho \psi_0^\sigma \quad (2.11)$$

The remaining two fermionic zero modes must be provided by the photon vertex and the picture changing operator. The latter, in particular, is the only operator that can provide the Dirichlet zero mode  $\psi_0^9$ , whereas the photon vertex (2.5) has to provide  $\psi_0^0$ . In this way, the correlation (2.3) factorizes into a longitudinal part involving only the picture changing and photon vertex operators (and conventionally also ghosts and superghosts), and a light-cone part involving only the four graviton vertex operators. In the first part, the superghost correlation precisely cancels the partition function of the two longitudinal fermions along the 0, 9 directions. Similarly, at leading order in the photon momentum, only the constant mode of  $\partial_\sigma X^9$ , the distance  $b$  between the two D8 or O8 sources in the nine direction, contribute in  $T_F + \tilde{T}_F$ . The ghost partition function cancels then that of the two bosons along the 0, 9 directions. The total longitudinal contribution is then proportional to  $T(2\pi t)^{-1/2} e^{-b^2 t/(2\pi^2)} A_0 b t$ , where  $T$  is the total time and  $t$  the modulus of the surface. Equation (2.3) therefore reduces to

$$I_{\gamma g^4} = T \int_0^\infty \frac{dt}{t} (2\pi t)^{-1/2} e^{-b^2 t/(2\pi^2)} A_0 b t I_{g^4}^{eff.} \quad (2.12)$$

in terms of the effective four-graviton correlation function

$$I_{g^4}^{eff.} = \langle \langle \tilde{V}_g^{eff.} \tilde{V}_g^{eff.} \tilde{V}_g^{eff.} \tilde{V}_g^{eff.} \rangle \rangle \quad (2.13)$$

in the eight space-like world-volume directions. Surprisingly, the same kind of correlators appeared in a different context in type I four dimensional compactifications with  $N = 2$  supersymmetry, in evaluating a certain class of gravitational couplings, commonly called  $F_g$ 's [16, 17, 18]. Following [17, 18], it is convenient to exponentiate the correlator (2.13), reducing the computation to the evaluation of the partition function for a twisted action in the RR odd spin structure:

$$Z(t) = \langle \langle e^{-S_0 + S_{int.}} \rangle \rangle \quad (2.14)$$

where  $S_0$  is the free string action and

$$S_{int} = \int d^2 z R_{\mu\nu}(p) \left[ X^\mu (\partial + \bar{\partial}) X^\nu + (\psi - \tilde{\psi})^\mu (\psi - \tilde{\psi})^\nu \right] \quad (2.15)$$

In operatorial formalism, the odd spin structure partition function  $Z(t)$  on the annulus and Möbius strip is defined as a trace over open string states:

$$Z_A(t) = \text{Tr}_R[(-)^F e^{-tH}] , \quad Z_M(t) = \text{Tr}_R[(-)^F \Omega e^{-tH}] \quad (2.16)$$

where  $H$  is the open string Hamiltonian in a general background and  $(-)^F$  and the world-sheet parity operator  $\Omega$  implement the appropriate boundary conditions for bosons and fermions. More precisely,  $H$  is the hamiltonian of a two-dimensional supersymmetric non-linear  $\sigma$ -model in a generic eight-dimensional target manifold. Since  $\Omega$  commutes with the conserved linear combination of world-sheet supercharges  $Q + \tilde{Q}$ ,  $Z_{A,M}(t)$  are both topological indices [19]; they do not depend on the modulus  $t$  and can be computed exactly. We will discuss the path-integral representation of (2.16) in section four, where we show that it involves the quadratic interaction (2.15). Once  $Z$  has been evaluated, the four point function (2.13) is given by the term with four curvatures and eight fermionic zero modes:  $I_{g^4}^{eff} = Z_{TW}|_{g^4}$ . The evaluation of the determinant is straightforward. Only the lowest-lying R open string states contribute to the trace, all the others cancelling by world-sheet supersymmetry. In terms of the skew-eigenvalues  $\lambda_i$  of the  $R_{\mu\nu}$  matrix, one finds (see section four and appendix)

$$Z_{A,M} = \int d^8 x_0 \int d^8 \psi_0 \prod_{i=1}^4 \left( \frac{\lambda_i/4\pi}{\sinh \lambda_i/4\pi} \right) = \int d^8 x_0 \int d^8 \psi_0 \hat{\mathcal{A}}(R) \quad (2.17)$$

Notice that  $Z_{A,M}$  are independent of  $t$  as expected.

Performing the integral over fermionic zero modes using (2.9), the final result for both surfaces is the same. For the Möbius strip, it is convenient to factorize an additional  $2^4$  factor in order to recover the correct O8-plane charge  $\mu'_8 = -16\mu_8$ . This amounts to change  $R$  into  $R/2$ . Integrating over the modulus, the conveniently normalized results are then

$$I_{\gamma g^4}^A = T \mu_8^2 \int d^8 x_0 \epsilon_{\mu_1 \dots \mu_9} \left( \hat{\mathcal{A}}(\mathcal{R}) \right)_8^{\mu_1 \dots \mu_8} A^{\mu_9} \partial \Delta_{(1)}(b) \quad (2.18)$$

$$I_{\gamma g^4}^M = T \mu_8 \mu'_8 \int d^8 x_0 \epsilon_{\mu_1 \dots \mu_9} \left( \hat{\mathcal{A}}(\mathcal{R}/2) \right)_8^{\mu_1 \dots \mu_8} A^{\mu_9} \partial \Delta_{(1)}(b) \quad (2.19)$$

where  $\Delta_{(1)}(b)$  is the scalar Green function in the transverse dimension.

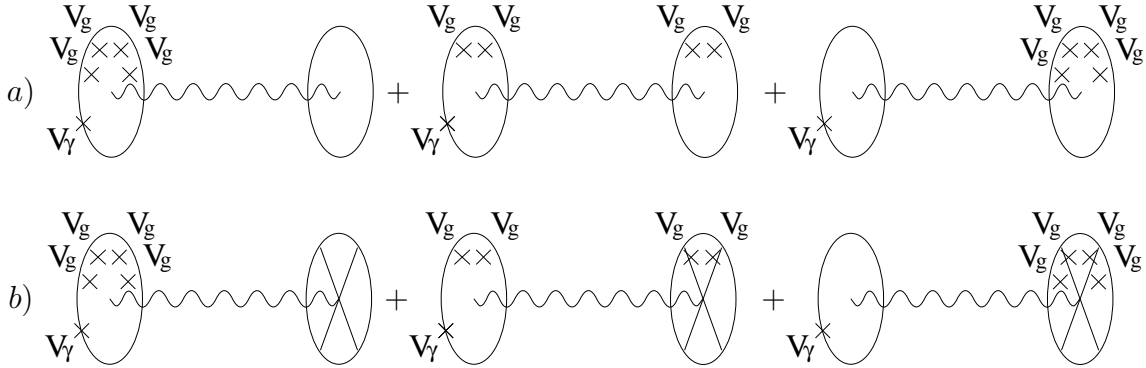


Fig. 2.2: Factorization of  $I_{\gamma g^4}$  on a) the annulus and b) the Möbius strip.

It is now straightforward to use (2.18) and (2.19) to deduce the gravitational anomalous couplings (1.1) and (1.3). Consider indeed (1.1) and (1.3) with some unknown curvature polynomial  $\hat{\mathcal{D}}(\mathcal{R})$  and  $\hat{\mathcal{O}}(\mathcal{R})$  to be determined. One of the sources is always a D8-brane with a world-volume gauge field, for which the part of the Wess-Zumino coupling which is linear in the gauge field is ( $H = dC$ )

$$S_{D8}(A) = \mu_8 \int A \wedge H \wedge \hat{\mathcal{D}}(\mathcal{R}) \Big|_{9-form} \quad (2.20)$$



as can be shown by integrating by parts ( $\hat{\mathcal{D}}(\mathcal{R})$  and  $\hat{\mathcal{O}}(\mathcal{R})$  are closed forms). Note that the world-volume gauge field  $A$  is crucial to turn the RR tensor fields to their field strengths, allowing then to perform explicitly the Hodge duality operation leading to the non-vanishing correlations (2.2). The other source is either a D8-brane without gauge field or a O8-plane, with Wess-Zumino couplings

$$S_{D8} = \mu_8 \int C \wedge \hat{\mathcal{D}}(\mathcal{R}) \Big|_{9-form}, \quad S_{O8} = \mu'_8 \int C \wedge \hat{\mathcal{O}}(\mathcal{R}) \Big|_{9-form} \quad (2.21)$$

It is then easy to compute, using the propagator (2.2), the corresponding magnetic interactions between two D8-branes, and a D8-brane and an O8-plane, as shown in figure 2.2<sup>6</sup>. The results are then<sup>7</sup>

$$I_{D8-D8} = T \mu_8^2 \int d^8 x_0 \epsilon_{\mu_1 \dots \mu_9} (\hat{\mathcal{D}}(\mathcal{R}) \wedge \hat{\mathcal{D}}(\mathcal{R}))_8^{\mu_1 \dots \mu_8} A^{\mu_9} \partial \Delta_{(1)}(b) \quad (2.22)$$

$$I_{D8-O8} = T \mu_8 \mu'_8 \int d^8 x_0 \epsilon_{\mu_1 \dots \mu_9} (\hat{\mathcal{D}}(\mathcal{R}) \wedge \hat{\mathcal{O}}(\mathcal{R}))_8^{\mu_1 \dots \mu_8} A^{\mu_9} \partial \Delta_{(1)}(b) \quad (2.23)$$

Comparing with (2.18) and (2.19), one finds that

$$\hat{\mathcal{D}}(\mathcal{R}) \wedge \hat{\mathcal{D}}(\mathcal{R}) = \hat{\mathcal{A}}(\mathcal{R}) \quad (2.24)$$

$$\hat{\mathcal{D}}(\mathcal{R}) \wedge \hat{\mathcal{O}}(\mathcal{R}) = \hat{\mathcal{A}}(\mathcal{R}/2) \quad (2.25)$$

at least for the 8-form component. It is remarkable that, thanks to the property

$$\sqrt{\hat{\mathcal{A}}(\mathcal{R})} \wedge \sqrt{\hat{\mathcal{L}}(\mathcal{R}/4)} = \hat{\mathcal{A}}(\mathcal{R}/2) \quad (2.26)$$

following from trigonometric identities, (2.24) and (2.25) have the unique solution

$$\hat{\mathcal{D}}(\mathcal{R}) = \sqrt{\hat{\mathcal{A}}(\mathcal{R})}, \quad \hat{\mathcal{O}}(\mathcal{R}) = \sqrt{\hat{\mathcal{L}}(\mathcal{R}/4)} \quad (2.27)$$

which satisfies (2.24) and (2.25) for all the component forms, and not only for the 8-form part.

## 2.2. Klein bottle

Although the computation performed in last subsection is actually sufficient in order to extract the gravitational couplings associated to O-planes, we want to show here a direct computation in the Klein bottle that will confirm the couplings found. Moreover, it will turn out to be useful in order to establish a connection between these couplings and anomalies associated to tensor fields with (anti)self-dual field strenghts, that we will discuss in the last section.

Unfortunately, it is not possible to probe the interaction between two O8-planes with the same set-up as before. Indeed, a 1-photon 4-graviton correlation function

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<sup>6</sup>Similar interactions were already considered in [20] for the D0-D8 system.

<sup>7</sup>Actually, to obtain these results one has to consider a 0-form term  $H_{(0)}$  in  $H$  of (2.20), which would correspond formally to a  $-1$ -form  $C_{(-1)}$  in  $C$ . This is closely related to the fact that we are looking at a truncation of the theory, which does not satisfy tadpole cancellation [26, 21]. Indeed, the unphysical  $C_{(9)}$  form, dual to  $C_{(-1)}$ , that we encounter for D8-branes and O8-planes corresponds, by T-duality, to the  $C_{(10)}$  form for D9-branes and O9-planes, which lead to inconsistent tadpoles in Type I theory unless  $G = SO(32)$  [21]. This is a signal that a IIA background with D8-branes only, at least at weak coupling, is inconsistent.

makes no sense in this case, since O8-planes do not support world-volume gauge fields. However, it still makes sense to consider the partition function (2.14) evaluated on the Klein bottle. In operatorial formalism, this corresponds to a trace over closed string states

$$Z_K(t) = \text{Tr}_{RR}[(-)^{F+\tilde{F}} \Omega e^{-tH}] \quad (2.28)$$

where as before  $(-)^{F+\tilde{F}}$  and the world-sheet parity operator  $\Omega$  implement the appropriate boundary conditions for bosons and fermions.  $H$  is again the Hamiltonian of a two-dimensional supersymmetric non-linear  $\sigma$ -model in a generic eight-dimensional target manifold. As before,  $\Omega$  commutes with the conserved linear combination of world-sheet supercharges  $Q + \tilde{Q}$ , and the trace above is an index [19], independent on the parameter  $t$ . Again, the path-integral representation of (2.28) involves, after expanding in normal coordinates, the quadratic interaction (2.15).

The evaluation of the determinant is again straightforward. In this case, only massless RR closed string states contribute to the trace, massive modes cancelling by world-sheet supersymmetry. One finds

$$Z_K = \int d^8 x_0 \int d^8 \psi_0 \prod_{i=1}^4 \left( \frac{\lambda_i/2\pi}{\tanh \lambda_i/2\pi} \right) = \int d^8 x_0 \int d^8 \psi_0 \hat{\mathcal{L}}(R) \quad (2.29)$$

As expected, the final result is independent of the modulus  $t$ . In this case, one has to factorize a factor  $2^8$  to recover the O8-plane charge squared  $\mu'_8{}^2 = 256\mu_8^2$ . This amounts to turn each  $R$  into  $R/4$ . Extrapolating the results of the previous section, it is then natural to expect that this partition function should correspond to the square of the unknown O8-plane gravitational coupling, so that the analog of (2.24) and (2.25) is

$$\hat{\mathcal{O}}(\mathcal{R}) \wedge \hat{\mathcal{O}}(\mathcal{R}) = \hat{\mathcal{L}}(\mathcal{R}/4) \quad (2.30)$$

which is satisfied for all the components by the solution (2.27).

The subtle point in this derivation is that, in writing the light-cone trace (2.28), we completely neglected the ghost and superghost contributions as well as the bosons and fermions in the two remaining flat directions. It is actually a subtle issue to give a meaning to these contributions; luckily, we are interested on orientifold couplings only, that are completely encoded in (2.28) alone, in our kinematical set up. This means that the possible ambiguity of the full result, due to the issues above, will only concern the nature of the RR propagator flowing in the surface. Anyway, despite the extrapolation needed to obtain an information from the Klein bottle amplitude, the compatibility with the couplings obtained from the annulus and the Möbius strip constitute a non-trivial consistency check of the whole approach we have followed.

### 3. Normal bundle

Magnetic interactions among D8-branes and O8-planes are not suitable to extract the anomalous couplings coming from the expansion of the normal bundle. On the other hand, although the RR odd spin structure amplitudes between parallel Dp-branes and/or Op-planes with  $p \leq 7$  are necessarily vanishing due to a simple counting of fermionic zero modes, this does not mean that one can not extract information from these. In particular, thanks to (2.2), it is clear what is the reason why the amplitude is vanishing; for  $p \leq 7$ , the presence of more than one fermionic zero mode in the

Dirichlet directions (one can always be absorbed in the  $\partial_P \Delta$  of (2.2)) unavoidably makes the correlator (2.2) vanish. A more useful way of writing the propagator (2.2) is

$$\langle C_{(p)}^{M_1 \dots M_p} H_{(q+1)}^{N_1 \dots N_{q+1}} \rangle_{\text{odd}} \sim \int \left( \prod_{i=1}^{10} d\psi_0^{M_i} \right) \psi_0^{M_1} \dots \psi_0^{M_p} \psi_0^{N_1} \dots \psi_0^{N_{q+1}} \psi_0^P \partial_P \Delta \quad (3.1)$$

A generic amplitude  $\mathcal{M}$  in the RR odd spin structure can be written as

$$\mathcal{M} = \int \left( \prod_{i=1}^{10} d\psi_0^{M_i} \right) \tilde{\mathcal{M}} \quad (3.2)$$

displaying explicitly that  $\mathcal{M}$  vanishes unless  $\tilde{\mathcal{M}}$  does not provide the ten fermionic zero modes  $\psi_0^M$ . As we mentioned, the amplitude  $\mathcal{M}$  will in general encode not only information about the couplings (1.1), (1.3), but also possible corrections to the free propagator (3.1).

### 3.1. Annulus and Möbius strip

In order to understand how one can distinguish between these two different contributions, let us focus on the particular case we will be interested in the following, i.e. the case in which  $\mathcal{M}$  is the correlation function of one world-volume gauge field with two gravitons in the bulk for parallel D4-branes/O4-planes<sup>8</sup>. At the level of the world-volume effective action, these interactions are then given by a tree level amplitude of 2 gravitons and one gauge field, mediated by the propagator (3.1) above, see figure 3.2. Doing the same manipulations as in previous section, the part of the unknown D4-brane Wess-Zumino coupling linear in the gauge field  $A$  can be written as

$$S_{D4}(A) = \mu_4 \int A \wedge H \wedge \hat{\mathcal{D}}(\mathcal{R}, \mathcal{R}') \Big|_{5\text{-form}} \quad (3.3)$$

where  $\mathcal{R}, \mathcal{R}'$  are the normalized tangent and normal bundle curvature two-forms. The other source is now either a D4-brane without gauge field or a O4-plane, with Wess-Zumino couplings

$$S_{D4} = \mu_4 \int C \wedge \hat{\mathcal{D}}(\mathcal{R}, \mathcal{R}') \Big|_{5\text{-form}}, \quad S_{O4} = \mu'_4 \int C \wedge \hat{\mathcal{O}}(\mathcal{R}, \mathcal{R}') \Big|_{5\text{-form}} \quad (3.4)$$

It is then easily seen that there are no non-vanishing overlaps of RR forms through the propagator (3.1), since always at least four fermionic zero modes are missing and make (3.1) vanish. By comparing the form of the lagrangian (3.3) to the free propagator (3.1) and considering that the derivative acting on  $\Delta$  has to be along a Dirichlet coordinate, we may easily conclude that the couplings in (3.3) are encoded in the part of the amplitude  $\tilde{\mathcal{M}}$  containing five zero modes in Neumann directions and one along a Dirichlet direction, which can be easily selected by inserting by hand the remaining four transverse (properly normalized) fermionic zero modes  $(\sqrt{t}\psi_0^5) \dots (\sqrt{t}\psi_0^8)$ . All other contributions in  $\tilde{\mathcal{M}}$  should be understood as corrections to the free propagator in (2.2) or eventually as new kind of couplings, not appearing in (3.3). Although the study of such contributions is definitively interesting, for the rest of the paper our attention will be focused on the anomalous couplings (1.1), (1.3) only.

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<sup>8</sup>This is not an essential condition but it simplifies the analysis below.

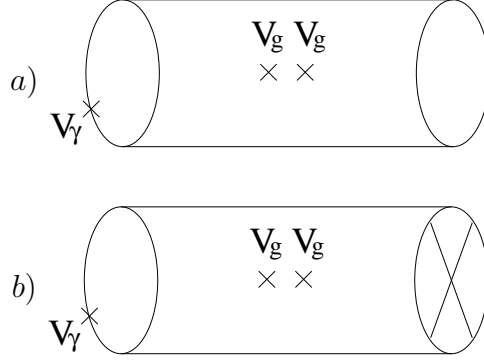


Fig. 3.1: The correlation  $I_{\gamma g^2}$  on a) the annulus and b) the Möbius strip.

We have then to evaluate (taking again the photon vertex in the  $(-1)$ -picture) the correlation

$$I_{\gamma g^2} = \langle t^2 \psi_0^5 \psi_0^6 \psi_0^7 \psi_0^8 (T_F + \tilde{T}_F) V_\gamma^{(-1)} V_g^{(0)} V_g^{(0)} \rangle \quad (3.5)$$

on the annulus and Möbius strip. Similarly to the computation of last section, it is sufficient to consider the linearized part of the vertices in (3.5), having in mind that gravitons carry now generic polarizations and momenta. It is clear that the two graviton vertices have to provide at least four zero modes, in order to make (3.5) non-vanishing. The linearized graviton vertex (2.7) decomposes in this case into various pieces, according to the number of fermionic zero modes provided by each term. Since we consider trivial embeddings of D-branes (O-planes) in ten-dimensional space-time, the graviton vertices have to provide fermionic zero modes in the Neumann directions only. By using again the cyclic property of the Riemann tensor (2.8), it is straightforward to see that the effective graviton operator is now

$$\begin{aligned} \tilde{V}_g^{eff.} = \int d^2 z \left\{ R_{\mu\nu}(p) \left[ X^\mu (\partial + \bar{\partial}) X^\nu + (\psi - \tilde{\psi})^\mu (\psi - \tilde{\psi})^\nu \right] \right. \\ \left. + R'_{ij}(p) \left[ X^i (\partial + \bar{\partial}) X^j + (\psi - \tilde{\psi})^i (\psi - \tilde{\psi})^j \right] \right\} \end{aligned} \quad (3.6)$$

involving both the tangent and normal bundle  $SO(4)$ -valued curvature two-forms

$$R_{\mu\nu} = \frac{1}{2} R_{\mu\nu\rho\sigma} \psi_0^\rho \psi_0^\sigma, \quad R'_{ij} = \frac{1}{2} R_{ij\rho\sigma} \psi_0^\rho \psi_0^\sigma \quad (3.7)$$

The remaining Neumann and Dirichlet zero modes in the 0, 9 directions will be provided respectively by the photon vertex and the picture changing operator, precisely like in the previous case. The correlator (3.5) then reduces to

$$I_{\gamma g^2} = T \int_0^\infty \frac{dt}{t} (2\pi t)^{-1/2} e^{-b^2 t / (2\pi^2)} A_0 b t^3 I_{g^2}^{eff.} \quad (3.8)$$

in terms of the effective two-point function

$$I_{g^2}^{eff.} = \langle \langle \tilde{V}_g^{eff.} \tilde{V}_g^{eff.} \rangle \rangle \quad (3.9)$$

in four space-like world-volume directions and four transverse directions. One can again exponentiate the correlation function (3.9), reducing the computation to the

evaluation of the determinant of the same twisted action (2.15), but with the appearance of the curvature of both the tangent and normal bundles. The computation of the determinants is similar to the tangent bundle case. As shown in section four, the net result is that the determinant for Dirichlet directions is exactly the inverse of the Neumann one and therefore one gets

$$\begin{aligned} Z_{A,M}(t) &= \int d^4 x_0 \int d^4 \psi_0 \prod_{i=1}^2 \left( \frac{\lambda_i/4\pi}{\sinh \lambda_i/4\pi} \right) \prod_{j=1}^2 \left( \frac{\sinh \lambda'_j/4\pi}{\lambda'_j/4\pi} \right) \\ &= \int d^4 x_0 \int d^4 \psi_0 \hat{\mathcal{A}}(R)/\hat{\mathcal{A}}(R') \end{aligned} \quad (3.10)$$

where  $\lambda_i$  and  $\lambda'_i$  are the skew-eigenvalues of  $R_{\mu\nu}$  and  $R'_{ij}$  respectively. Finally, the conveniently normalized results for the annulus and Möbius strip are

$$I_{\gamma g^2}^A = T \mu_4^2 \int d^4 x_0 \epsilon_{\mu_1 \dots \mu_5} \left( \hat{\mathcal{A}}(\mathcal{R})/\hat{\mathcal{A}}(\mathcal{R}') \right)_4^{\mu_1 \dots \mu_4} A^{\mu_5} \partial \Delta_{(5)}(b) \quad (3.11)$$

$$I_{\gamma g^2}^M = T \mu_4 \mu'_4 \int d^4 x_0 \epsilon_{\mu_1 \dots \mu_5} \left( \hat{\mathcal{A}}(\mathcal{R}/2)/\hat{\mathcal{A}}(\mathcal{R}'/2) \right)_4^{\mu_1 \dots \mu_4} A^{\mu_5} \partial \Delta_{(5)}(b) \quad (3.12)$$

where  $\Delta_{(5)}(b)$  is the scalar Green function in the five transverse dimensions.

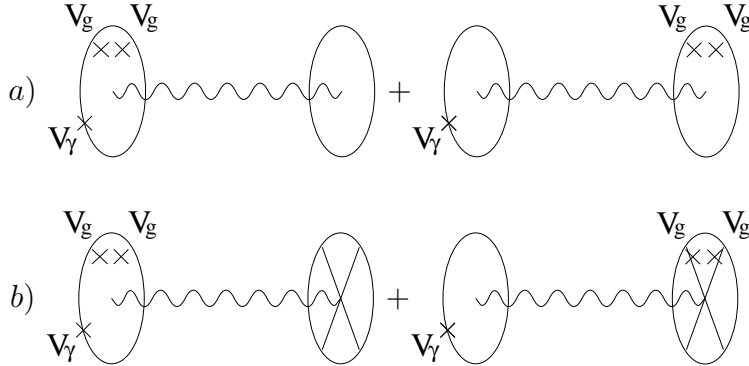


Fig. 3.2: Factorization of  $I_{\gamma g^2}$  on a) the annulus and b) the Möbius strip.

Again, it is straightforward to deduce the gravitational anomalous couplings (1.1) and (1.3) by comparing (3.11) and (3.12) to the expressions one gets by using the generic couplings  $\hat{\mathcal{D}}(\mathcal{R}, \mathcal{R}')$  and  $\hat{\mathcal{O}}(\mathcal{R}, \mathcal{R}')$ , and the propagator (3.1) (modified by the insertion of the four transverse zero modes), which are

$$I_{D4-D4} = T \mu_4^2 \int d^4 x_0 \epsilon_{\mu_1 \dots \mu_5} \left( \hat{\mathcal{D}}(\mathcal{R}, \mathcal{R}') \wedge \hat{\mathcal{D}}(\mathcal{R}, \mathcal{R}') \right)_4^{\mu_1 \dots \mu_4} A^{\mu_5} \partial \Delta_{(5)}(b) \quad (3.13)$$

$$I_{D4-O4} = T \mu_4 \mu'_4 \int d^4 x_0 \epsilon_{\mu_1 \dots \mu_5} \left( \hat{\mathcal{D}}(\mathcal{R}, \mathcal{R}') \wedge \hat{\mathcal{O}}(\mathcal{R}, \mathcal{R}') \right)_4^{\mu_1 \dots \mu_4} A^{\mu_5} \partial \Delta_{(5)}(b) \quad (3.14)$$

By comparison one finds two conditions generalizing the conditions (2.24) and (2.25), which have the unique solutions

$$\hat{\mathcal{D}}(\mathcal{R}, \mathcal{R}') = \sqrt{\hat{\mathcal{A}}(\mathcal{R})/\hat{\mathcal{A}}(\mathcal{R}')} , \quad \hat{\mathcal{O}}(\mathcal{R}, \mathcal{R}') = \sqrt{\hat{\mathcal{L}}(\mathcal{R}/4)/\hat{\mathcal{L}}(\mathcal{R}'/4)} \quad (3.15)$$

### 3.2. Klein bottle

Again, the Klein bottle computation related to the O4-O4 interaction can not be done along the same lines, since it is not possible to insert any photon. Nevertheless, one can observe as in the case of the tangent bundle, that the effective light-cone partition function makes sense and can be computed. Similarly to the previous cases, one finds

$$\begin{aligned} Z_K &= \int d^4x_0 \int d^4\psi_0 \prod_{i=1}^2 \left( \frac{\lambda_i/2\pi}{\tanh \lambda_i/2\pi} \right) \prod_{j=1}^2 \left( \frac{\tanh \lambda'_j/2\pi}{\lambda'_j/2\pi} \right) \\ &= \int d^4x_0 \int d^4\psi_0 \hat{\mathcal{L}}(R)/\hat{\mathcal{L}}(R') \end{aligned} \quad (3.16)$$

This result suggests a condition analog to (2.30), which is compatible with the solution (3.15). As for the case of the tangent bundle, the significance of the Klein bottle computation lies in its compatibility with the more rigorous results derived from the annulus and Möbius strip.

#### 4. $\sigma$ -model approach

As seen in last two sections, the couplings (1.1) and (1.3) are basically encoded in an eight-dimensional light-cone partition function on various surfaces. More precisely, according to the discussion of last section, these couplings are determined by terms involving only fermionic zero modes along the space-like directions of the world-volume of the two parallel sources. This allows one to consider the indices (2.16) and (2.28) in the more general case of interactions between Dp-branes and/or Op-planes for generic p. For completeness, we also include in the following the dependence on a constant  $\mathcal{F} = 2\pi\alpha'F - B^9$ .

The path integral representation for the indices (2.16) and (2.28) is

$$Z_\Sigma = \int_\Sigma \mathcal{D}X \mathcal{D}\Psi e^{-S(X,\Psi)} \quad (4.1)$$

where  $\Sigma = A, M, K$  denotes the corresponding surface, annulus, Möbius strip and Klein bottle, with the appropriate boundary conditions for all the fields.  $S(X, \Psi)$  is the (Euclidean) two-dimensional supersymmetric  $\sigma$ -model defined on an eight-dimensional target space<sup>10</sup>:

$$\begin{aligned} S &= \frac{1}{4\pi\alpha'} \int_\Sigma d^2\sigma \left[ g_{MN} \left( \partial^\alpha X^M \partial_\alpha X^N + 2\alpha' \bar{\Psi}^M \hat{D} \Psi^N \right) + \frac{(2\alpha')^2}{6} R_{MNPQ} (\bar{\Psi}^M \Psi^P) (\bar{\Psi}^N \Psi^Q) \right] \\ &\quad + \frac{1}{4\pi\alpha'} \oint_{\partial\Sigma} d\sigma_\alpha \mathcal{F}_{\mu\nu} (X^\mu \partial^\alpha X^\nu + 2\alpha' \bar{\Psi}^\mu \rho^\alpha \Psi^\nu) \end{aligned} \quad (4.2)$$

where the  $U(1)$  field strength  $\mathcal{F}_{\mu\nu}$  is constant. Capital indices  $M, N = 1, \dots, 8$  run now over  $\mu, \nu = 1, \dots, p$  (Neumann) and  $i, j = p+1, \dots, 8$  (Dirichlet). In the action (4.2),  $\Psi = (\psi, \bar{\psi})$ ,  $\hat{D} = \rho^\alpha \partial_\alpha$  and

$$\hat{D}(X) \Psi^N = \hat{\partial} \Psi^N + \Gamma_{PQ}^N(X) (\hat{\partial} X^P) \Psi^Q \quad (4.3)$$

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<sup>9</sup>In this and the following sections, we shall keep track of all the normalizations and restore the  $\alpha'$  dependence.

<sup>10</sup>As explained in [22], the net effect of a constant antisymmetric NSNS tensor  $B_{\mu\nu}$  is just to shift  $F_{\mu\nu} \rightarrow \mathcal{F}_{\mu\nu}/2\pi\alpha'$ .

The world-sheet is parametrized by  $\sigma_\alpha = \sigma_0, \sigma_1$ , with  $0 \leq \sigma_0 \leq t$ ,  $0 \leq \sigma_1 \leq \pi$ , and

$$\rho^0 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \rho^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \rho^3 = \rho^0 \rho^1 \quad (4.4)$$

Since all the partition functions that we are computing are indices, independent of  $t$ , the path integral (4.1) can be evaluated in the high temperature regime  $t \rightarrow 0$  [23]. In this limit, the path-integral is dominated by constant paths and it is sufficient to consider quadratic fluctuations around this configuration. In all the surfaces involved, bosons present constant configurations  $x_0^\mu$  in the Neumann directions only, whereas fermions always have the constant modes  $\psi_0^\mu = \tilde{\psi}_0^\mu$ ,  $\psi_0^i = -\tilde{\psi}_0^i$ . Using the normal coordinate expansion [24], one can write the following expansions for the metric and the connection

$$\begin{aligned} g_{MN}(X) &= \delta_{MN} - \frac{1}{3} R_{MPNQ}(x_0) \xi^P \xi^Q + \dots \\ g_{QP}(X) \Gamma_{MN}^P(X) &= \frac{1}{3} (R_{QMPN}(x_0) + R_{QNPM}(x_0)) \xi^P + \dots \end{aligned} \quad (4.5)$$

where  $\xi^M$  are the fluctuations of the bosonic fields. The bosonic kinetic term gives simply

$$g_{MN}(X) \partial^\alpha X^M \partial_\alpha X^N = \partial^\alpha \xi^M \partial_\alpha \xi_M + \dots \quad (4.6)$$

As we have explained, only terms bilinear in the Neumann fermionic zero modes contribute to the couplings we are interested in. Restricting then to those terms only, using the cyclic property of the Riemann tensor and the boundary condition  $\psi_0^\mu = \tilde{\psi}_0^\mu$ , the fermionic kinetic term yields

$$g_{MN}(X) (\bar{\Psi}^M \hat{D} \Psi^N) = \bar{\chi}^M \hat{\partial} \chi_M + R_{\mu\nu MN}(x_0) \psi_0^\mu \psi_0^\nu \xi^M \partial_0 \xi^N + \dots \quad (4.7)$$

where  $\chi$  represents the fluctuation of two dimensional spinor field. The four-fermion term in (4.2) gives, after some simple manipulations,

$$R_{MNPQ}(X) (\bar{\Psi}^M \Psi^P) (\bar{\Psi}^N \Psi^Q) = 3 R_{\mu\nu MN}(x_0) \psi_0^\mu \psi_0^\nu (\tilde{\chi} - \chi)^M (\tilde{\chi} - \chi)^N + \dots \quad (4.8)$$

Putting (4.6), (4.7) and (4.8) together, one finds the usual free action

$$S_0 = \frac{1}{4\pi\alpha'} \int d^2\sigma \left[ (\partial_\alpha \xi^M)^2 + 2\alpha' \bar{\chi}^M \hat{\partial} \chi_M \right] \quad (4.9)$$

and the gravitational interaction term

$$\begin{aligned} S_{int}^{curv} &= \frac{1}{4\pi} \int d^2z \left\{ R_{\mu\nu} \left[ \xi^\mu (\partial + \bar{\partial}) \xi^\nu + 2\alpha' (\chi - \tilde{\chi})^\mu (\chi - \tilde{\chi})^\nu \right] \right. \\ &\quad \left. + R'_{ij} \left[ \xi^i (\partial + \bar{\partial}) \xi^j + 2\alpha' (\chi - \tilde{\chi})^i (\chi - \tilde{\chi})^j \right] \right\} \end{aligned} \quad (4.10)$$

where  $R_{\mu\nu}$  and  $R'_{ij}$  are defined as in (3.7).

The last term in the action (4.2) leads to an effective boundary interaction lagrangian (keeping only bilinears in the fermionic zero modes)

$$S_{int}^{gauge} = \frac{1}{\pi} \oint ds \mathcal{F}_{\mu\nu} \psi_0^\mu \psi_0^\nu \quad (4.11)$$

As expected, the action (4.10) reproduces precisely the interactions obtained in last sections by exponentiating the effective graviton vertices (2.10) and (3.6), replacing  $X$  and  $\psi$  by the quantum fields  $\xi$  and  $\chi$  respectively. The effective  $\sigma$ -model interaction lagrangian is finally given by  $S = S_0 + S_{int}^{curv} + S_{int}^{gauge}$ . By an orthogonal transformation we can always bring  $R_{MN}$  in a “skew-diagonal” form

$$R_{MN} = \begin{pmatrix} R_{\mu\nu} & R'_{ij} \end{pmatrix} = \begin{pmatrix} 0 & \lambda_1 & & & \\ -\lambda_1 & 0 & & & \\ & & \cdots & & \\ & & & 0 & \lambda'_1 \\ & & & -\lambda'_1 & 0 \\ & & & & & \cdots \end{pmatrix} \quad (4.12)$$

The partition function  $Z$  decouples then into four different pieces, according to the decomposition given by (4.12). The evaluation of the determinant for each pair of coordinates is straightforward and can be performed by expanding in modes the boson and fermion fields on the various surfaces (see the appendix). Only the constant mode in the  $\sigma$  direction contribute to the trace, all other modes cancelling by world-sheet supersymmetry.

On the annulus and the Möbius strip, the fermionic term in the tangent bundle contribution to (4.10) vanishes, due to Neumann boundary conditions, so that the path integral over  $\chi^\mu$  gives no net contribution. The path-integral for  $\xi^\mu$  is instead that of a scalar in the  $R_{\mu\nu}$  background that is equivalent to a constant electromagnetic field. On the other hand, as for the normal bundle contribution, where the fields satisfy Dirichlet boundary conditions, there are no bosonic  $\sigma$ -independent modes on both surfaces, as is clear from (A.3) and (A.6). However, due to the different sign in the boundary conditions (A.2) and (A.5), the fermionic contributions do not cancel anymore from (4.10), as happens for the tangent bundle case. Including also the boundary term (4.11), one finally finds

$$\begin{aligned} Z_{A,M} &= \int d^p x_0 \int d^p \psi_0 (4\pi\alpha't)^{-\frac{p}{2}} e^{\frac{\mathcal{F}}{4\pi^2\alpha'}} \prod_{i=1}^q \left( \frac{\alpha'\lambda_i t}{\sinh \alpha'\lambda_i t} \right) \prod_{j=1}^{4-q} \left( \frac{\sinh \alpha'\lambda'_j t}{\alpha'\lambda'_j t} \right) \\ &= \int d^p x_0 \int d^p \psi_0 e^{\frac{\mathcal{F}}{4\pi^2\alpha'}} \prod_{i=1}^q \left( \frac{\lambda_i/4\pi}{\sinh \lambda_i/4\pi} \right) \prod_{j=1}^{4-q} \left( \frac{\sinh \lambda'_j/4\pi}{\lambda'_j/4\pi} \right) \\ &= \int d^p x_0 \int d^p \psi_0 e^{\frac{\mathcal{F}}{4\pi^2\alpha'}} \hat{\mathcal{A}}(R)/\hat{\mathcal{A}}(R') \end{aligned} \quad (4.13)$$

where  $\mathcal{F} = \mathcal{F}_{\mu\nu}\psi_0^\mu\psi_0^\nu$  (for the annulus, it is just the difference of the field strengths on the two boundaries) and  $2q < p$  is the dimension of the curved submanifold of the Dp or Op world-volume (with non-trivial characteristic classes defined by the roof genus or Hirzebruch polynomials)<sup>11</sup>. In the second line of (4.13) (and similarly for (4.14)), we have used the fact that the integral over the  $p$  fermionic zero modes selects only the p-form part of the integrand, which scales like  $\prod_{i=1}^q \lambda_i$ . One can therefore drop the free particle normalization  $(4\pi\alpha't)^{-p/2}$  and turn each  $\alpha'\lambda_i t$  into  $\lambda_i/4\pi$ .

<sup>11</sup>Notice that (4.13) and (4.14) are polynomials in the curvature two-forms and therefore should be always integrated over a manifold with an even dimension  $2q$ . Moreover, the polynomials defined by these expressions are given always by even powers of  $\lambda_i$  (quadratic in the fermionic zero modes) and therefore we need at least a 4-dimensional manifold to get a non-trivial result.



On the Klein bottle, only RR massless closed string states contribute, corresponding again to world-sheet fields which are constant in  $\sigma$ . In this case, boson and fermion fields contribute each to both the tangent and the normal bundle contributions, with their role exchanged in the two cases because of the different boundary conditions, so that the result for Dirichlet directions is the inverse of that for Neumann directions. One then finds

$$\begin{aligned}
Z_K &= \int d^p x_0 \int d^p \psi_0 (4\pi\alpha' t)^{-\frac{p}{2}} \prod_{i=1}^q \left( \frac{2\alpha' \lambda_i t}{\tanh 2\alpha' \lambda_i t} \right) \prod_{j=1}^{4-q} \left( \frac{\tanh 2\alpha' \lambda'_j t}{2\alpha' \lambda'_j t} \right) \\
&= \int d^p x_0 \int d^p \psi_0 \prod_{i=1}^q \left( \frac{\lambda_i/2\pi}{\tanh \lambda_i/2\pi} \right) \prod_{j=1}^{4-q} \left( \frac{\tanh \lambda'_j/2\pi}{\lambda'_j/2\pi} \right) \\
&= \int d^p x_0 \int d^p \psi_0 \hat{\mathcal{L}}(R)/\hat{\mathcal{L}}(R')
\end{aligned} \tag{4.14}$$

Notice that the partition functions (4.13) and (4.14) are integer numbers and do not depend on  $t$  and  $\alpha'$ , as expected. By factorizing in both (4.13), (4.14) the appropriate factors needed to reconstruct the charges of the two sources, equations (4.13) and (4.14) reproduce in particular (2.17), (2.29), (3.10) and (3.16).

## 5. Consistency with anomaly cancellation in Type I theory

As mentioned in the introduction, in this section we will perform an important check on the normalization of our results by using the known results for anomaly cancellation in the Type I superstring. It is well known that gauge and gravitational hexagon anomalies in Type I theory cancel against certain anomalous diagrams involving the exchange of the RR 2-form  $C_{(2)}$ , if the gauge group is  $SO(32)$  [25]. In a modern language in which Type I string theory is considered as a theory of 32 overlapping D9-branes and one O9-plane (realizing the world-sheet parity projection), the Green-Schwarz anomaly cancelling terms are encoded in the Wess-Zumino couplings (1.1), (1.3). In particular the sum of the O-plane and D-brane couplings has to match up in

$$S_{GS} = \mu_9 \int \left( (\pi\alpha')^2 C_{(6)} \wedge X_4 + (\pi\alpha')^4 C_{(2)} \wedge X_8 \right) \tag{5.1}$$

where

$$X_4 = 2 \operatorname{tr} F^2 - 2 \operatorname{tr} R^2 \tag{5.2}$$

$$X_8 = \frac{2}{3} \operatorname{tr} F^4 - \frac{1}{12} \operatorname{tr} F^2 \operatorname{tr} R^2 + \frac{1}{12} \operatorname{tr} R^4 + \frac{1}{48} (\operatorname{tr} R^2)^2 \tag{5.3}$$

and the trace is taken in the fundamental representation of the gauge group  $SO(32)$ . The first term in (5.1) is required to get the correct Bianchi identity for the field strength associated to  $C_{(2)}$

$$dH_{(3)} = \frac{1}{16\pi^2} (\operatorname{tr} R^2 - \operatorname{tr} F^2) \tag{5.4}$$

whereas the second one reproduces the usual anomalous coupling of the antisymmetric tensor  $C_{(2)}$ . Using the explicit expressions

$$p_1(R) = \frac{1}{2(2\pi)^2} \operatorname{tr} R^2, \quad p_2(R) = -\frac{1}{4(2\pi)^4} \left( \operatorname{tr} R^4 - \frac{1}{2} (\operatorname{tr} R^2)^2 \right) \tag{5.5}$$

for the first two Pontrjagin classes  $p_1(R), p_2(R)$ , the anomalous couplings deduced in last section can be rewritten for the D9-branes and O9-planes as

$$S_{D9} = \mu_9 \int \left( C_{(10)} + (\pi\alpha')^2 C_{(6)} \wedge B_4 + (\pi\alpha')^4 C_{(2)} \wedge B_8 \right) \quad (5.6)$$

with

$$B_4 = 2F^2 - \frac{1}{24} \text{tr} R^2 \quad (5.7)$$

$$B_8 = \frac{2}{3} F^4 - \frac{1}{12} F^2 \text{tr} R^2 + \frac{1}{720} \text{tr} R^4 + \frac{1}{1152} (\text{tr} R^2)^2 \quad (5.8)$$

and

$$S_{O9} = -\mu_9 \int \left( 32C_{(10)} + (\pi\alpha')^2 C_{(6)} \wedge O_4 + (\pi\alpha')^4 C_{(2)} \wedge O_8 \right) \quad (5.9)$$

with

$$O_4 = \frac{2}{3} \text{tr} R^2 \quad (5.10)$$

$$O_8 = -\frac{7}{180} \text{tr} R^4 + \frac{1}{144} (\text{tr} R^2)^2 \quad (5.11)$$

One can then check that<sup>12</sup>

$$S_{32D9} + S_{O9} = S_{GS} \quad (5.12)$$

Any change in the normalization of (1.1) and (1.3) would invalidate this result. In particular, assume only the validity of the functional dependence of the couplings (1.1) and (1.3), but take generically  $\hat{\mathcal{A}}(c_D \pi^2 \alpha' R)$  in (1.1) and  $\hat{\mathcal{L}}(c_O \pi^2 \alpha' R)$  in (1.3), where  $c_D$  and  $c_O$  are arbitrary coefficients. Imposing then (5.12), one finds the unique solution  $c_D = 4, c_O = 1$ . Note moreover that the matching with Type I anomaly cancellation (5.12) yields more than two constraints for the parameters  $c_D, c_O$ . This means that already the existence of the solution above is a strong consistency check of the correctness of the couplings we find.

As anticipated in the introduction, the constraint (5.12) allows to fix only the normalizations associated to the roof genus and Hirzebruch polynomials of the tangent bundle only. It is however clear from our one-loop correlation functions that no extra numerical factors enter into the evaluation of the anomalous couplings coming from the roof genus and Hirzebruch polynomials associated to the normal bundle.

## 6. Discussion and conclusions

It is quite interesting to analyze more closely the kind of anomalies computed in last sections, by looking at the string states propagating in the various surfaces. For the case of D8-D8 interactions, the odd spin structure would suggest that in the open channel one has the propagation of the parity-odd part of a chiral fermion state. Here parity means ten-dimensional parity due to the ten fermionic zero modes that give a factor  $\hat{\Gamma}_{11} = \Gamma_0 \dots \Gamma_9$ . However, the insertion of a photon vertex and a picture changing operator in the amplitude, soaking up two zero modes, turns  $\hat{\Gamma}_{11}$  into

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<sup>12</sup>The cancellation of the total charge with respect to the ten form  $C_{(10)}$  is the notorious tadpole cancellation for the gauge group  $\text{SO}(32)$ .

$\hat{\Gamma}_9 = \Gamma_1 \dots \Gamma_8$ . This implies that in the effective correlator (2.13) or partition function (2.14), the open string running in the loop is the parity-odd part of a chiral fermion, but in the eight-dimensional sense. Since, as we have seen, the correlator (2.13) is independent of the modulus  $t$  of the annulus, for  $t \rightarrow 0$  it will reduce simply to a loop of an eight-dimensional chiral fermion with four gravitons inserted. This is nothing else than the one-loop term giving rise to the gravitational contribution to the axial anomaly in eight dimensions of a chiral fermion. On the other hand, for  $t \rightarrow \infty$ , the annulus computation factorizes in the closed string channel to an exchange of massless closed string states, according to the anomalous couplings (1.1). Being the computation  $t$ -independent, the two contributions are exactly the same, providing a very explicit realization of the anomaly inflow mechanism. The same is valid for the D4-D4 interaction analyzed in section three: the zero modes inserted “by hand” into the amplitude, in addition to the two provided by the photon vertex and the picture changing operator, turn  $\hat{\Gamma}_{11}$  into the four dimensional  $\hat{\Gamma}_5$ . The computation reproduces then the usual gravitational contribution to the anomaly of an axial current, due to a chiral fermion [27]. The same is valid of course for arbitrary Dp-Dp interactions. The  $\sigma$ -model approach discussed in section four, for the tangent bundle contributions, displays a very close analogy to the evaluation of anomalies performed in section 11 of [23]. In particular, as we have seen, the determinants arising in all previous computations are fixed purely by the zero energy states of the string, since all other contributions cancel each other. This implies that we could simply reduce the (1+1)-dimensional  $\sigma$ -model to a (0+1)-dimensional quantum system; in this way the computation corresponds precisely to the evaluation of the gravitational contribution to the axial anomaly for a spin 1/2 state coupled to gravity [23]. The normal bundle contributions present instead a new feature; due to the different boundary conditions of the world-sheet fields, there are no more zero energy bosons, whereas fermions now do couple to the curvature at second order. In this way, one gets that the anomaly polynomial in this case is just the inverse of the tangent bundle one.

The Möbius strip case is very similar to the annulus. Again, we have the propagation of the parity-odd term of a chiral fermion state and the discussion follows precisely that given above for the annulus case. The anomalies arising from the Klein bottle surface are more subtle. Although we do not have a clear understanding of this case, it is quite evident that the anomaly in this case is due to chiral RR forms, i.e. forms whose field strengths are (anti) self-dual. Indeed, for  $t \rightarrow 0$ , the anomaly polynomial reproduces the gravitational anomaly of chiral forms discovered in [23]. It is quite interesting that, in the string set-up, such anomalies, both from the tangent and normal bundle cases, are encoded in the index (2.28).

We have presented a direct string computation of anomalous Wess-Zumino couplings for D-branes and O-planes. In much the same way as in Polchinski’s computation of the RR charge carried by a Dp-brane and an Op-plane, we have relied on one-loop amplitudes which, factorized in the closed string channel, encode magnetic RR interactions. The advantage of this method lies in the fact that the normalization of the couplings can be fixed in a straightforward and unambiguous way, in contrast with a more direct RR tadpole computation, which would be really awkward to normalize.

The importance of this direct check lies in the fact that anomalous couplings are required for consistency of superstring theories. Also, they have a number of

important consequences and, due to their topological nature, they are believed to be exact. For instance, the Green-Schwarz anomaly cancelling term in Type I theory follows from the anomalous couplings of the background D9-branes and O9-plane. Needless to say, it will be extremely interesting to analyze the implications of the orientifold couplings (1.3) in string compactifications to lower dimensions, along the lines of [12], as already done for instance in [6] for the D-brane couplings (1.1). We would like to remark that, among the couplings we discussed in this paper, there were other interactions terms, that we neglected and that definitely deserves a further study. We think that the computations presented in this work confirms explicitly the couplings (1.1) and gives a direct evidence for the orientifold couplings (1.3).

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## A. Mode expansions

We report in this appendix the mode expansions for bosons and fermions on the annulus, Möbius strip and Klein bottle surfaces, that are needed to evaluate the corresponding one-loop determinants. Although most of the content of this appendix can be found in [18], we prefer for completeness to report here those results, including also the case of Dirichlet boundary conditions, not included in [18]. All the considerations that will follow are done in the odd spin structure. We solve the boundary and crosscap conditions for bosons and fermions on each surface by extending these fields to their covering torus [28], obtained by appropriate identifications of the fields, as shown in figures A.1 a)-c).

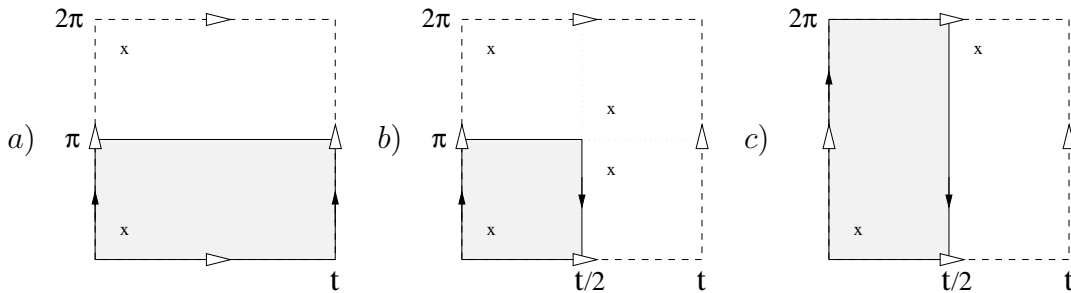


Fig. A.1: *Fundamental cells and covering tori with points identified as shown for the a) annulus, b) Möbius strip and c) Klein bottle surfaces.*

On the annulus parametrized as in figure A.1 a), the Neumann boundary conditions

$$\partial_\sigma X(\tau, 0) = \partial_\sigma X(\tau, \pi) = 0$$

$$\psi(\tau, 0) = \tilde{\psi}(\tau, 0) , \quad \psi(\tau, \pi) = \tilde{\psi}(\tau, \pi) \quad (\text{A.1})$$

and the Dirichlet ones

$$\begin{aligned} X(\tau, 0) &= 0 , \quad X(\tau, \pi) = b \\ \psi(\tau, 0) &= -\tilde{\psi}(\tau, 0) , \quad \psi(\tau, \pi) = -\tilde{\psi}(\tau, \pi) \end{aligned} \quad (\text{A.2})$$

are solved by extending the fields to the covering torus with the identifications  $X(\tau, \sigma) = X(\tau, 2\pi - \sigma)$ ,  $X(\tau, \sigma) = -X(\tau, 2\pi - \sigma) + 2b$ , respectively for Neumann and Dirichlet boundary conditions, and  $\psi(\tau, \sigma) = \pm \tilde{\psi}(\tau, 2\pi - \sigma)$ , where here and in the following the  $+$ ( $-$ ) sign will always concern the Neumann (Dirichlet) case. The mode expansions are then:

$$\begin{aligned} X_A^{(N)}(\tau, \sigma) &= \sum_{\substack{m=-\infty \\ n \geq 0}}^{+\infty} \alpha_{m,n} e^{2i\pi m\tau/t} \cos \pi n\sigma \\ X_A^{(D)}(\tau, \sigma) &= \frac{b}{\pi} \sigma + \sum_{\substack{m=-\infty \\ n > 0}}^{+\infty} \alpha_{m,n} e^{2i\pi m\tau/t} \sin \pi n\sigma \\ \psi_A^{(N,D)}(\tau, \sigma) &= \sum_{m,n=-\infty}^{+\infty} d_{m,n} e^{2i\pi m\tau/t} e^{i\pi n\sigma} \\ \tilde{\psi}_A^{(N,D)}(\tau, \sigma) &= \pm \sum_{m,n=-\infty}^{+\infty} d_{m,n} e^{2i\pi m\tau/t} e^{-i\pi n\sigma} \end{aligned} \quad (\text{A.3})$$

The boundary and cross-cup conditions for the Möbius strip shown in figure A.1 b) are respectively

$$\begin{aligned} X(0, \sigma) &= X(t/2, \pi - \sigma) , \quad \partial_\sigma X(\tau, 0) = \partial_\sigma X(\tau, \pi) = 0 \\ \psi(0, \sigma) &= \tilde{\psi}(t/2, \pi - \sigma) , \quad \tilde{\psi}(0, \sigma) = \psi(t/2, \pi - \sigma) \end{aligned} \quad (\text{A.4})$$

and

$$\begin{aligned} X(0, \sigma) &= -X(t/2, \pi - \sigma) , \quad X(\tau, 0) = X(\tau, \pi) = 0 \\ \psi(0, \sigma) &= -\tilde{\psi}(t/2, \pi - \sigma) , \quad \tilde{\psi}(0, \sigma) = -\psi(t/2, \pi - \sigma) \end{aligned} \quad (\text{A.5})$$

for the Neumann and Dirichlet cases. The mode expansions on the covering torus are given by

$$\begin{aligned} X_M^{(N,D)}(\tau, \sigma) &= \frac{1}{2} \sum_{\substack{m=-\infty \\ n \geq 0}}^{+\infty} \alpha_{m,n} e^{2i\pi m\tau/t} (e^{i\pi n\sigma} \pm e^{-i\pi n\sigma}) , \quad m+n = \text{even} \\ \psi_M^{(N,D)}(\tau, \sigma) &= \sum_{m,n=-\infty}^{+\infty} d_{m,n} e^{2i\pi m\tau/t} e^{i\pi n\sigma} , \quad m+n = \text{even} \\ \tilde{\psi}_M^{(N,D)}(\tau, \sigma) &= \pm \sum_{m,n=-\infty}^{+\infty} d_{m,n} e^{2i\pi m\tau/t} e^{-i\pi n\sigma} , \quad m+n = \text{even} \end{aligned} \quad (\text{A.6})$$

On the Klein bottle, figure A.1 c), the cross-cup conditions are

$$\begin{aligned} X(\tau, 0) &= X(\tau, 2\pi) , \quad X(0, \sigma) = \pm X(t/2, 2\pi - \sigma) \\ \psi(0, \sigma) &= \pm \tilde{\psi}(t/2, 2\pi - \sigma) , \quad \tilde{\psi}(0, \sigma) = \pm \psi(t/2, 2\pi - \sigma) \end{aligned} \quad (\text{A.7})$$

The corresponding mode expansions are then

$$\begin{aligned}
X_K^{(N,D)}(\tau, \sigma) &= \frac{1}{2} \sum_{\substack{m=-\infty \\ n \geq 0}}^{+\infty} \alpha_{m,n} e^{2i\pi m\tau/t} (e^{i\pi n\sigma} \pm (-)^m e^{-i\pi n\sigma}) \\
\psi_K^{(N,D)}(\tau, \sigma) &= \sum_{m,n=-\infty}^{+\infty} d_{m,n} e^{2i\pi m\tau/t} e^{i\pi n\sigma} \\
\tilde{\psi}_K^{(N,D)}(\tau, \sigma) &= \pm \sum_{m,n=-\infty}^{+\infty} d_{m,n} (-)^m e^{2i\pi m\tau/t} e^{-i\pi n\sigma}
\end{aligned} \tag{A.8}$$

On all the surfaces the mode expansions for all the fields with Dirichlet boundary conditions can also be obtained from the mode expansions for the Neumann case by changing the relative sign between left and right-moving fields.

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